CARNEGIE
PRIVATE WEALTH

Dear [Name],

I greatly appreciate the support following my move to the new independent firm, **Carnegie Private Wealth**, and hope you are as excited as I am to continue working together on your financial journey.

I understand that change can be difficult and that you may want to do some additional research before taking any next steps. While you are considering your options, please feel free to look through the resources below to learn more about Carnegie Private Wealth, as well as our new broker-dealer, LPL Financial.

CARNEGIE PRIVATE WEALTH
Invested in what matters.

Keeping You at the Heart of Everything I Do

I wouldn't be here without you, my valued client. Your experience was the core focus of every decision that went into joining this new firm, and I am confident that this move will ultimately allow me to serve you to my fullest potential. I carefully considered your existing pain points, priorities, and goals throughout this entire process, and hope I can address many of your concerns upfront through the Frequently Asked Questions (FAQs) below.

Frequently Asked Questions

Expanded Team, Enhanced Service

I am proud to add my experience to this team of seasoned professionals and support staff, including trusted partners like Sherrie Cross, who have been supporting you for many years already. While I will be joining an exceptional team, please rest assured that I will continue to serve as your primary advisor and trusted partner in your financial journey.

With a combined total of over 125 years of experience among the financial professionals at Carnegie, I will have the invaluable resource of a team that is always prepared to provide knowledgeable service and support. All of us at Carnegie Private Wealth are invested in you—so you can be invested in what matters.

Meet the Carnegie Private Wealth Team

LPL FINANCIAL
*#1 Independent Broker-Dealer in the U.S.**

Leading with Strength, Stability, & Advocacy

LPL Financial believes that Americans deserve access to personalized guidance from a financial professional. Below are five key points that will highlight LPL and offer more insight into why we chose this broker-dealer to be our partner.

1 LPL has been in business for over 30 years and currently supports approximately 6 million client accounts, with over $1 trillion in assets custodied.**

2 LPL is also a leading retail investment advisory firm – and for 25 consecutive years, has been the number one firm providing independent financial professionals with the support they need to serve their client's accounts.***

3 LPL has a team of more than 800 risk and compliance professionals and 100 cybersecurity experts who are responsible for protecting you and your accounts. In addition, LPL has an annual budget of $40M dedicated to cybersecurity and data privacy to protect from cyberattacks.

4 LPL provides technology, research, compliance, operations, and consulting support to help financial professionals run their businesses.

5 LPL was founded on the revolutionary vision of helping financial professionals build competitive businesses while they served their client's best interests – treating them like people, not accounts. This remains true of LPL today.

More Resources from LPL Financial

When your financial professional partners with LPL, you get a unique combination for managing your financial situation: a dedicated professional who understands your challenges and goals, paired with the stability and strength of a leading financial services provider.

"We take care of our advisors, so they can take care of their clients."

That's LPL's mission, and it permeates everything they do. LPL's corporate values help them activate and execute on their mission every day, keeping them focused, agile, and accountable.

LPL Financial — Planning for Your Future

LPL By-The-Numbers

LPL.com — About Us

Again, thank you for your loyalty and commitment; I did not take this move lightly. You are my priority, and I am working to make this transition as seamless as possible. If you have any questions, don't hesitate to email our office at **info@carnegiepw.com** or call **(704) 733-6880.**

Sincerely,

Jeff Vandiver
Managing Director, Senior Wealth Advisor
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Jeff.Vandiver@CarnegiePW.com
(704) 733-6884

*As reported by Financial Planning magazine, June 1996-2022, based on total revenue.
**As of 4/30/21
***As reported by Financial Planning magazine, June 1996-2022, based on total revenue.

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